

$\mu$ - $\tau$  Symmetry and Maximal CP Violation

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We argue the possibility that a real part of a flavor neutrino mass matrix only respects a  $\mu$ - $\tau$  symmetry. This possibility is shown to be extended to more general case with a phase parameter  $\theta$ , where the  $\mu$ - $\tau$  symmetric part has a phase of  $\theta/2$ . This texture shows maximal CP violation and no Majorana CP violation.

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The present experimental data on neutrino oscillations [1, 2] indicate the mixing angles [3] satisfying

$$0.70 < \sin^2 2\theta_\odot < 0.95, \quad 0.92 < \sin^2 2\theta_{atm}, \quad \sin^2 \theta_{CHOOZ} < 0.05, \quad (1)$$

where  $\theta_\odot$  is the solar neutrino mixing angle,  $\theta_{atm}$  is the atmospheric neutrino mixing angle and  $\theta_{CHOOZ}$  is for the mixing angle between  $\nu_e$  and  $\nu_\tau$ . These mixing angles are identified with the mixings among three flavor neutrinos,  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ , yielding three massive neutrinos,  $\nu_{1,2,3}$ :  $\theta_{12} = \theta_\odot$ ,  $\theta_{23} = \theta_{atm}$  and  $\theta_{13} = \theta_{CHOOZ}$ . These data seem to be consistent with the presence of a  $\mu$ - $\tau$  symmetry [4, 5, 6, 7] in the neutrino sector, which provides maximal atmospheric neutrino mixing with  $\sin^2 2\theta_{23} = 1$  as well as  $\sin \theta_{13} = 0$ .

Although neutrinos gradually reveal their properties in various experiments since the historical Super-Kamiokande confirmation of neutrino oscillations [1], we expect to find yet unknown property related to CP violation [8]. The effect of the presence of a leptonic CP violation can be described by four phases in the PMNS neutrino mixing matrix,  $U_{PMNS}$  [9], to be denoted by one Dirac phase of  $\delta$  and three Majorana phases of  $\beta_{1,2,3}$  as  $U_{PMNS} = U_\nu K$  [10] with

$$U_\nu = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{23}c_{12}s_{13}e^{i\delta} & c_{23}c_{12} - s_{23}s_{12}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}c_{12}s_{13}e^{i\delta} & -s_{23}c_{12} - c_{23}s_{12}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

$$K = \text{diag}(e^{i\beta_1}, e^{i\beta_2}, e^{i\beta_3}), \quad (2)$$

where  $c_{ij} = \cos \theta_{ij}$  and  $s_{ij} = \sin \theta_{ij}$  ( $i, j=1,2,3$ ) and Majorana CP violation is specified by two combinations made of  $\beta_{1,2,3}$  such as  $\beta_i - \beta_3$  in place of  $\beta_i$  in  $K$ . To examine such effects of CP violation, there have been various discussions [11] including those on the possible textures of flavor neutrino masses [12, 13, 14, 15].

In this note, we would like to focus on the role of the  $\mu$ - $\tau$  symmetry in models with CP violation [14, 15], which can be implemented by introducing complex flavor neutrino masses. The  $\mu$ - $\tau$  symmetric texture gives  $\sin \theta_{13} = 0$  as well as maximal atmospheric neutrino mixing characterized by  $c_{23} = \sigma s_{23} = 1/\sqrt{2}$  ( $\sigma = \pm 1$ ). Because of  $\sin \theta_{13} = 0$ , Dirac CP violation is absent in Eq.(2) and CP violation becomes of the Majorana type. Since the  $\mu$ - $\tau$  symmetry is expected to be approximately realized, its breakdown is signaled by  $\sin \theta_{13} \neq 0$ . To have  $\sin \theta_{13} \neq 0$ , we discuss another implementation of the  $\mu$ - $\tau$  symmetry such that the symmetry is only respected by the real part of  $M_\nu$ . The discussion is based on more general case, where  $M_\nu$  is controlled by one phase to be denoted by  $\theta$  and the specific value of  $\theta = 0$  yields the  $\mu$ - $\tau$  symmetric real part. It turns out that Majorana CP violation is absent because all three Majorana phases are calculated to be  $-\theta/4$  while Dirac CP violation becomes maximal.

Our complex flavor neutrino mass matrix of  $M_\nu$  is parameterized by

$$M_\nu = \begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ M_{e\tau} & M_{\mu\tau} & M_{\tau\tau} \end{pmatrix}, \quad (3)$$

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where  $U_{PMNS}^T M_\nu U_{PMNS} = \text{diag.}(m_1, m_2, m_3)$ .<sup>1</sup> The mixing angles have been calculated in the Appendix of Ref.[16] and satisfy

$$\sin 2\theta_{12} (\lambda_1 - \lambda_2) + 2 \cos 2\theta_{12} X = 0, \quad (4)$$

$$\sin 2\theta_{13} (M_{ee} e^{-i\delta} - \lambda_3 e^{i\delta}) + 2 \cos 2\theta_{13} Y = 0, \quad (5)$$

$$(M_{\tau\tau} - M_{\mu\mu}) \sin 2\theta_{23} - 2M_{\mu\tau} \cos 2\theta_{23} = 2s_{13} e^{-i\delta} X, \quad (6)$$

and neutrino masses are given by

$$\begin{aligned} m_1 e^{-2i\beta_1} &= \frac{\lambda_1 + \lambda_2}{2} - \frac{X}{\sin 2\theta_{12}}, & m_2 e^{-2i\beta_2} &= \frac{\lambda_1 + \lambda_2}{2} + \frac{X}{\sin 2\theta_{12}}, \\ m_3 e^{-2i\beta_3} &= \frac{c_{13}^2 \lambda_3 - s_{13}^2 e^{-2i\delta} M_{ee}}{\cos 2\theta_{13}}, \end{aligned} \quad (7)$$

where

$$\begin{aligned} \lambda_1 &= c_{13}^2 M_{ee} - 2c_{13}s_{13}e^{i\delta}Y + s_{13}^2 e^{2i\delta}\lambda_3, & \lambda_2 &= c_{23}^2 M_{\mu\mu} + s_{23}^2 M_{\tau\tau} - 2s_{23}c_{23}M_{\mu\tau}, \\ \lambda_3 &= s_{23}^2 M_{\mu\mu} + c_{23}^2 M_{\tau\tau} + 2s_{23}c_{23}M_{\mu\tau}, \end{aligned} \quad (8)$$

$$X = \frac{c_{23}M_{e\mu} - s_{23}M_{e\tau}}{c_{13}}, \quad Y = s_{23}M_{e\mu} + c_{23}M_{e\tau}. \quad (9)$$

To clarify the importance of the  $\mu$ - $\tau$  symmetry, which accommodates maximal atmospheric neutrino mixing and  $\sin \theta_{13} = 0$ , we first review what conditions are imposed by the requirement of  $\sin \theta_{13} = 0$ . From Eq.(5), we require that

$$Y = s_{23}M_{e\mu} + c_{23}M_{e\tau} = 0, \quad (10)$$

giving rise to  $\tan \theta_{23} = -\text{Re}(M_{e\tau})/\text{Re}(M_{e\mu}) = -\text{Im}(M_{e\tau})/\text{Im}(M_{e\mu})$ . Since  $\sin \theta_{13} = 0$ , Eq.(6) reads

$$(M_{\tau\tau} - M_{\mu\mu}) \sin 2\theta_{23} = 2M_{\mu\tau} \cos 2\theta_{23}. \quad (11)$$

These are the well known relations that determine  $\theta_{23}$  if  $\sin \theta_{13} = 0$ . Maximal atmospheric neutrino mixing arises if

$$M_{\tau\tau} = M_{\mu\mu}, \quad (12)$$

which in turn gives

$$M_{e\tau} = -\sigma M_{e\mu}. \quad (13)$$

The flavor neutrino masses satisfying Eqs.(12) and (13) suggest the presence of  $\mu$ - $\tau$  symmetry in neutrino physics. The remaining mixing angle of  $\theta_{12}$  satisfies

$$M_{\mu\mu} - \sigma M_{\mu\tau} = M_{ee} + \frac{2\sqrt{2}}{\tan 2\theta_{12}} M_{e\mu}, \quad (14)$$

which determines the definite correlation of the phases of the flavor neutrino masses.

In place of Eqs.(10) and (11), using a Hermitian matrix of  $\mathbf{M} = M_\nu^\dagger M_\nu$ , we can find that  $\tan \theta_{23} = -\text{Re}(\mathbf{M}_{e\tau})/\text{Re}(\mathbf{M}_{e\mu}) = -\text{Im}(\mathbf{M}_{e\tau})/\text{Im}(\mathbf{M}_{e\mu})$ , where  $\mathbf{M}_{e\mu} = M_{ee}^* M_{e\mu} + M_{e\mu}^* M_{\mu\mu} + M_{e\tau}^* M_{\mu\tau}$  and  $\mathbf{M}_{e\tau} = M_{ee}^* M_{e\tau} + M_{e\mu}^* M_{\mu\tau} + M_{e\tau}^* M_{\tau\tau}$ . In addition, we have argued that  $\tan \theta_{23}$  is directly determined by  $\tan \theta_{23} = \text{Im}(\mathbf{M}_{e\mu})/\text{Im}(\mathbf{M}_{e\tau})$  satisfied in any models with complex neutrino masses irrespective of the values of  $\sin \theta_{13}$  [17]. Both expressions of  $\tan \theta_{23}$  are compatible if  $(\text{Im}(\mathbf{M}_{e\mu}))^2 + (\text{Im}(\mathbf{M}_{e\tau}))^2 = 0$ , yielding  $\text{Im}(\mathbf{M}_{e\mu}) = \text{Im}(\mathbf{M}_{e\tau}) = 0$ . Since the Dirac CP violation phase is absent for  $\sin \theta_{13} = 0$ ,  $\mathbf{M}$  with the Majorana phases cancelled is necessarily real. In fact, we obtain that  $\mathbf{M}_{e\mu} = c_{12}s_{12}c_{23}(m_2^2 - m_1^2)$  and  $\mathbf{M}_{e\tau} = -\tan \theta_{23}\mathbf{M}_{e\mu}$  which automatically satisfy  $\text{Im}(\mathbf{M}_{e\mu}) = \text{Im}(\mathbf{M}_{e\tau}) = 0$ .

We next argue the implementation of the  $\mu$ - $\tau$  symmetry based on the observation that it is sufficient for the symmetry to be respected by the real part of  $M_\nu$ . From the discussions developed in Ref.[16], it can be extended to more general

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<sup>1</sup> It is understood that the charged leptons and neutrinos are rotated, if necessary, to give diagonal charged-current interactions and to define the flavor neutrinos of  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ .

case, where the real and imaginary parts are, respectively, replaced by  $(z + e^{i\theta} z^*)/2$  ( $\equiv z_+$ ) and  $(z - e^{i\theta} z^*)/2$  ( $\equiv z_-$ ) for a complex number of  $z$  and the phase parameter of  $\theta$ . It is useful to notice that  $z_+ = e^{i\theta/2} \text{Re}(e^{-i\theta/2} z)$  and  $z_- = ie^{i\theta/2} \text{Im}(e^{-i\theta/2} z)$ . The relevant mass matrix is provided by one of the textures found in Ref.[16]:

$$M_\nu = \begin{pmatrix} M_{ee} & M_{e\mu} & -\sigma e^{i\theta} M_{e\mu}^* \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ -\sigma e^{i\theta} M_{e\mu}^* & M_{\mu\tau} & e^{i\theta} M_{\mu\mu}^* \end{pmatrix}, \quad (15)$$

where  $M_{ee,\mu\tau} = e^{i\theta} M_{ee,\mu\tau}^*$ , equivalently  $(M_{ee,\mu\tau})_- = 0$ , is imposed. This texture gives

$$\tan 2\theta_{12} = 2\sqrt{2} \frac{\cos 2\theta_{13} (M_{e\mu})_+}{c_{13} \left[ (1 - 3s_{13}^2) (M_{\mu\mu})_+ - c_{13}^2 (\sigma (M_{\mu\tau})_+ + (M_{ee})_+) \right]}, \quad (16)$$

$$\tan 2\theta_{13} e^{i\delta} = 2\sqrt{2} \frac{\sigma (M_{e\mu})_-}{(M_{\mu\mu})_+ + \sigma (M_{\mu\tau})_+ + (M_{ee})_+}. \quad (17)$$

As discussed in Ref.[16], these expressions yield real values of  $\tan 2\theta_{12,13}$  because of the property that  $z'_+/z_+ = \text{Re}(e^{-i\theta/2} z')/\text{Re}(e^{-i\theta/2} z)$  and  $z'_-/z_+ = i\text{Im}(e^{-i\theta/2} z')/\text{Re}(e^{-i\theta/2} z)$  for any complex values of  $z$  and  $z'$ . As a result,  $\delta = \pm\pi/2$  is derived and  $M_\nu$  gives maximal CP violation.

A texture with the Dirac CP violation phase related to the  $\mu$ - $\tau$  symmetric texture is obtained by decomposing  $z$  and  $e^{i\theta} z^*$  into  $z_+$  and  $z_-$  and turns out to be  $M_\nu = M_{+\nu} + M_{-\nu}$  with

$$\begin{aligned} M_{+\nu} &= \begin{pmatrix} (M_{ee})_+ & (M_{e\mu})_+ & -\sigma (M_{e\mu})_+ \\ (M_{e\mu})_+ & (M_{\mu\mu})_+ & (M_{\mu\tau})_+ \\ -\sigma (M_{e\mu})_+ & (M_{\mu\tau})_+ & (M_{\mu\mu})_+ \end{pmatrix} = e^{i\theta/2} \text{Re} \left( e^{-i\theta/2} M_\nu \right), \\ M_{-\nu} &= \begin{pmatrix} 0 & (M_{e\mu})_- & \sigma (M_{e\mu})_- \\ (M_{e\mu})_- & (M_{\mu\mu})_- & 0 \\ \sigma (M_{e\mu})_- & 0 & -(M_{\mu\mu})_- \end{pmatrix} = ie^{i\theta/2} \text{Im} \left( e^{-i\theta/2} M_\nu \right), \end{aligned} \quad (18)$$

which shows that  $M_{+\nu}$  has a phase  $\theta/2$  modulo  $\pi$  while  $M_{-\nu}$  has a phase  $(\theta + \pi)/2$  modulo  $\pi$ . The  $\mu$ - $\tau$  symmetry exists in  $M_{+\nu}$  because Eqs.(12) and (13) are satisfied but is explicitly broken by  $M_{-\nu}$ . Therefore, this texture shows “incomplete”  $\mu$ - $\tau$  symmetry [15]. Since  $M_{+\nu}$  does not contribute to  $\sin \theta_{13}$ ,  $\sin \theta_{13}$  should be proportional to the flavor neutrino masses in  $M_{-\nu}$ . In fact, it is proportional to  $(M_{e\mu})_-$  in Eq.(17). To speak of the Majorana phases, we have to determine neutrino masses, which can be computed from Eq.(7) and are given by

$$\begin{aligned} m_1 e^{-2i\beta_1} &= (M_{\mu\mu})_+ - \sigma (M_{\mu\tau})_+ - \frac{1 + \cos 2\theta_{12}}{\sin 2\theta_{12}} \frac{\sqrt{2} (M_{e\mu})_+}{c_{13}}, \\ m_2 e^{-2i\beta_2} &= (M_{\mu\mu})_+ - \sigma (M_{\mu\tau})_+ + \frac{1 - \cos 2\theta_{12}}{\sin 2\theta_{12}} \frac{\sqrt{2} (M_{e\mu})_+}{c_{13}}, \\ m_3 e^{-2i\beta_3} &= \frac{c_{13}^2 \left( (M_{\mu\mu})_+ + \sigma (M_{\mu\tau})_+ \right) + s_{13}^2 (M_{ee})_+}{\cos 2\theta_{13}}. \end{aligned} \quad (19)$$

Since  $z_+ = e^{i\theta/2} \text{Re}(e^{-i\theta/2} z)$ , the texture gives three Majorana phases calculated to be:  $\beta_{1,2,3} = -\theta/4$  modulo  $\pi/2$ . The common phase does not induce Majorana CP violation. This result reflects the fact that the source of the Majorana phases is the phase of  $M_\nu$  in Eq.(18) equal to  $\theta/2$ , which can be rotated away by redefining appropriate fields. The remaining imaginary part  $\text{Im}(e^{-i\theta/2} M_\nu)$  supplies the Dirac phase  $\delta$ . Therefore, our proposed mass matrix becomes  $\text{Re}(e^{-i\theta/2} M_\nu) + i\text{Im}(e^{-i\theta/2} M_\nu)$ , which is equivalent to  $M_\nu$  with  $\theta=0$ . No CP violating Majorana phases exist in our mass matrix.

The simplest choice of  $\theta = 0$  provides the case where the real part of  $M_\nu$  respects the  $\mu$ - $\tau$  symmetry. This texture has been discussed in Ref.[13, 17], which takes the form of

$$M_\nu^{\mu-\tau} = \text{Re} \begin{pmatrix} M_{ee} & M_{e\mu} & -\sigma M_{e\mu} \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ -\sigma M_{e\mu} & M_{\mu\tau} & M_{\mu\mu} \end{pmatrix} + i\text{Im} \begin{pmatrix} 0 & M_{e\mu} & \sigma M_{e\mu} \\ M_{e\mu} & M_{\mu\mu} & 0 \\ \sigma M_{e\mu} & 0 & -M_{\mu\mu} \end{pmatrix}, \quad (20)$$

where the real part is the well-known  $\mu$ - $\tau$  symmetric texture as expected while the imaginary part breaks it.<sup>2</sup> The mixing angles of  $\theta_{12,13}$  are given by

$$\begin{aligned}\tan 2\theta_{12} &\approx 2\sqrt{2}\frac{\text{Re}(M_{e\mu})}{\text{Re}(M_{\mu\mu}) - \sigma\text{Re}(M_{\mu\tau}) - \text{Re}(M_{ee})}, \\ \tan 2\theta_{13}e^{i\delta} &= 2\sqrt{2}\sigma\frac{i\text{Im}(M_{e\mu})}{\text{Re}(M_{\mu\mu}) + \sigma\text{Re}(M_{\mu\tau}) + \text{Re}(M_{ee})},\end{aligned}\quad (21)$$

from Eqs.(16) and (17). The expression of  $\tan 2\theta_{12}$  is obtained by taking the approximation  $\sin^2\theta_{13} \approx 0$ . The maximal CP violation by  $e^{i\delta} = \pm i$  is explicitly obtained.

Summarizing our discussions, we have advocated to use the possibility that the real part of  $M_\nu$  only respects the  $\mu$ - $\tau$  symmetry. This possibility is extended to the more general case of  $M_\nu = M_{+\nu} + M_{-\nu}$  in Eq.(18), where  $M_{+\nu}$  serves as a  $\mu$ - $\tau$  symmetric texture and the symmetry-breaking term of  $M_{-\nu}$  acts as a source of  $\sin\theta_{13} \neq 0$ . The consistency of the texture is given by the property that particular combinations of  $z$ ,  $z^*$  and  $e^{i\theta}$  become real or pure imaginary. This property ensures the appearance of real values of  $\theta_{12,13}$  while the real value of  $\theta_{23}$  arises from  $\tan\theta_{23} = \text{Im}(\mathbf{M}_{e\mu})/\text{Im}(\mathbf{M}_{e\tau})$ . It should be noted that  $\theta_{23}$  is not determined by  $\tan\theta_{23} = -\text{Re}(\mathbf{M}_{e\tau})/\text{Re}(\mathbf{M}_{e\mu})$  as in the  $\mu$ - $\tau$  symmetric texture because the Dirac CP violation phase is now active. It turns out that  $M_\nu = e^{i\theta/2}[\text{Re}(e^{-i\theta/2}M_\nu) + i\text{Im}(e^{-i\theta/2}M_\nu)]$ , which gives no intrinsic Majorana CP violation while the Dirac CP violation becomes maximal.

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<sup>2</sup> In this context, another solution is to abandon to have  $\sin\theta_{13} = 0$  in  $\text{Re}(M_\nu^{\mu-\tau})$ , which is realized by  $M_{e\tau} = \sigma M_{e\mu}$  instead of  $M_{e\tau} = -\sigma M_{e\mu}$  in Eq.(20), and CP violation ceases to be maximal [16]. To discuss  $\mu$ - $\tau$  symmetry in this type of texture is out of the present scope.

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